

## Week 2-4 (II)

### Ideal

**Defn.** Let  $R$  be a ring with unity 1. An additive subgroup  $N$  of  $R$  is an ideal if for each element  $a \in R$  and  $b \in N$ ,  $ab \in N$  and  $ba \in N$ .

(\*) Ideal 在環中所扮演的角色就像 Normal subgroup 在群中所扮演的角色類似；有了 ideal  $N$ , 才可以定義 Factor ring.

$R/N : (a + N)(b + N) = ab + N$  well-defined.

**Example**  $\mathbb{Z}$  中的 ideal 是  $n\mathbb{Z}$  的形式.

**Example**  $\mathbb{R}[x]$  中的 ideal 是  $\{f(x)q(x) \mid q(x) \in \mathbb{R}[x]\}$  的形式, 其中  $f(x) \in \mathbb{R}[x]$ .

**Lemma** Let  $R$  be a commutative ring with 1. Then, for each  $a \in R$ ,  $\langle a \rangle = \{ax \mid x \in R\}$  is an ideal of  $R$ ,  $\langle a \rangle$  is also called a principal ideal of  $R$ .

**Corollary** Every ideal of  $Z$  is a principal ideal.

**Theorem** Let  $F$  be a field. Then every ideal of  $F[x]$  is a principal ideal.

**Proof.** Let  $R$  be an ideal  $\neq \{0\}$  of  $F[x]$  and  $f(x)$  is a polynomial in  $R$  with minimum degree but not a zero polynomial. We claim that for each element  $g(x) \in R$ ,  $f(x) \mid g(x)$ . Suppose not. By division algorithm (holds for  $F[x]$ ),  $g(x) = f(x)q(x) + r(x)$  where  $r(x) = 0$  or  $r(x) \neq 0$  and  $\deg(r(x)) < \deg(f(x))$ . If  $r(x) = 0$ , done. Otherwise, if  $r(x) \neq 0$ , since  $g(x) \in R$  and  $f(x)q(x) \in R$ , we have  $r(x) \in R$ . This is a contradiction. We have the proof. ■

**Defn.** A proper ideal  $N$  of a ring  $R$  is an ideal which is different from  $R$ .  $\{0\}$  and  $R$  are trivial ideals of  $R$ . An ideal  $M$  is maximal if there does not exist an ideal  $N$ , s.t.  $M \subsetneq N \subsetneq R$ . An ideal  $P$  is a prime ideal of  $R$  if for every element  $ab \in P$  implies that  $a \in P$  or  $b \in P$ .

**Proposition** Let  $R$  be a commutative ring with 1, and  $N$  be an ideal of  $R$  which contains a unit. Then  $N = R$ .

**Proof.** 自己證.

**Corollary** Every field contains no nontrivial proper ideal.

**Theorem** Let  $\varphi$  be a ring homomorphism from  $R$  into  $R'$ . Let  $N$  be an ideal of  $R$  and  $N'$  be an ideal of  $R'$ . Then

- (a)  $\varphi[N]$  is an ideal of  $\varphi[R]$ . (注意, 不是  $R'$  的 ideal)
- (b)  $\varphi^{-1}[N']$  is an ideal of  $R$ .

**Proof.** 自己證明.

**Theorem**  $\varphi : R \rightarrow R/N$  defined by  $\varphi(x) = x + N$  is a homomorphism from  $R$  onto  $R/N$ . ( $N$  is an ideal of  $R$ )

Note that  $\text{Ker}\varphi = N$  and  $\varphi$  is called a canonical homomorphism.

**Theorem** Let  $R$  be a commutative ring with 1. Then  $R/M$  is a field if and only if  $M$  is a maximal ideal of  $R$ .

**Proof.** ( $\Rightarrow$ ) Suppose not.  $\exists$  ideal  $N$ ,  $M \subsetneq N \subsetneq R$ . 由上述 canonical homo.  $\varphi[N]$  是  $R/M$  的一個 ideal, 而且  $\varphi[N] \neq \{M\}$ ,  $\varphi[N]$  也不等於  $R/M$ , 所以  $\varphi[N]$  是一個 proper ideal, 這個結論與  $R/M$  是 field 矛盾. 所以  $M$  必然是 maximal ideal.

( $\Leftarrow$ ) 因為  $R$  是 commutative ring with 1, 所以  $R/M$  也是一個 commutative ring 具有乘法單位元素  $1 + M$ . 現在, 我們證明  $R/M$  中的每一個非零 ("M") 元素  $a + M$  都有乘法反元素, 這裡  $a \notin M$ .

由於  $a + M \in R/M$ , 所以  $\langle a + M \rangle$  是  $R/M$  的一個 ideal, 於是  $\varphi^{-1}[\langle a + M \rangle]$  是  $R$  的一個 ideal. ( $\varphi : R \rightarrow R/M$  為 homo.) 因為  $1 + M \notin \langle a + M \rangle$ , 所以  $\varphi^{-1}[\langle a + M \rangle] \subsetneq R$ , 又因為  $a \notin M$ , 所以  $M \subsetneq \varphi^{-1}[\langle a + M \rangle]$ , 因此  $M$  不是 maximal ideal.  $\rightarrow\leftarrow$   
所以  $R/M$  是 field. ■

同理, 我們可以證明

**Theorem** Let  $R$  be a commutative ring with 1. Then  $R/N$  is an integral domain if and only if  $N$  is a prime ideal.

**Proof.**( $\Rightarrow$ ) Suppose not. Then there exists two elements  $a$  and  $b$  not in  $N$  such that  $ab \in N$ . This implies that in  $R/N$ , there exist two elements  $a + N$  and  $b + N$  which not  $N(R/N$  中的  $0$ ), but  $(a + N)(b + N) = N$ .

This implies that  $R/N$  is not an integral domain.

( $\Leftarrow$ ) Let  $ab \in N$ . Then  $(a + N)(b + N) = N$  implies  $a \in N$  or  $b \in N$  (since  $R/N$  is an integral domain.) ■

**Corollary** Every maximal ideal of  $R$  is a prime ideal.

**Theorem** An ideal  $\langle p(x) \rangle \neq \{0\}$  of  $F[x]$  is maximal if and only if  $p(x)$  is irreducible over  $F$ .

**Proof.** ( $\Rightarrow$ ) Suppose not.  $p(x) = f(x)g(x)$  where

$1 \leq \deg(fx) < \deg(p(x))$  and  $1 \leq \deg(g(x)) < \deg(p(x))$ . This implies that  $\langle p(x) \rangle \subsetneq \langle f(x) \rangle \subsetneq F[x]$ .  $\rightarrow \leftarrow$

( $\Leftarrow$ ) Suppose not.  $\exists N$  (ideal of  $F[x]$ ), s.t.  $\langle p(x) \rangle \subsetneq N \subsetneq F[x]$ .

Since every ideal of  $F[x]$  is a principal ideal,  $N = \langle g(x) \rangle$ . Now,

$p(x) \in \langle g(x) \rangle$ ,  $p(x) = g(x)q(x)$ . Since  $p(x)$  is irreducible, either  $g(x)$

or  $q(x)$  is of degree 0. If  $\deg(g(x)) = 0$ , then  $N = F[x]$ . On the other

hand, if  $q(x)$  is of degree 0, let  $q(x) = c \neq 0$ , then  $g(x) = \frac{1}{c}p(x)$ . Hence

$\langle g(x) \rangle = \langle p(x) \rangle$ ,  $\langle p(x) \rangle$  is maximal. ■

**Theorem** Let  $p(x)$  be an irreducible poly. in  $F[x]$ . If  $p(x)$  divides  $r(x)s(x)$  for  $r(x), s(x) \in F[x]$ , then either  $p(x) \mid r(x)$  or  $p(x) \mid s(x)$ .

**Proof.**  $\langle p(x) \rangle$  is a maximal ideal of  $F[x]$ . Hence  $\langle p(x) \rangle$  is a prime ideal of  $F[x]$ . This implies that if  $r(x)s(x) \in \langle p(x) \rangle$ , then either  $r(x) \in \langle p(x) \rangle$  or  $s(x) \in \langle p(x) \rangle$ . This concludes the proof. ■

## 目標

令  $F$  為一體而  $f(x)$  為  $F[x]$  中的一個非常數多項式。證明存在有一個體  $E \geq F$  這滿足  $E$  中存在有一個元素  $\alpha$  使得  $f(\alpha) = 0$ .

例  $x^2 + 1 \in \mathbb{Q}[x]$ ,  $\mathbb{Q}(i)$  (包含  $\mathbb{Q}$  及  $i$  的體) 存在, 其中  $\mathbb{Q}(i) \geq \mathbb{Q}$ , 而且  $i^2 + 1 = 0$ .

## 證明的概念

1. 令  $p(x) \mid f(x)$  而且  $p(x)$  是 irreducible. (Note :  $f(x) \in F[x]$ )
  2. 令  $E = F[x] / \langle p(x) \rangle$ . (這是一個 field, 因為  $\langle p(x) \rangle$  是 maximal ideal.)
  3. 令  $\alpha = x + \langle p(x) \rangle \in E$ , 則  $f(\alpha) = f(x) + \langle p(x) \rangle = \langle p(x) \rangle$  is a zero in  $E$ .
  4.  $F$  is isomorphic to a subfield of  $E$ . ( $\forall c_1 \neq c_2 \in F$ ,  
 $c_1 + \langle p(x) \rangle \neq c_2 + \langle p(x) \rangle$ )  
 $F$  中的 "0" 可以看成  $E$  中的  $\langle p(x) \rangle$ . ( $\varphi : F \xrightarrow{1-1} E$  onto.)
- (\*)  $F$  is embedded in  $E$ .

## Unique Factorization Domain (UFD)

**Defn.** Let  $R$  be a commutative ring with unity and  $a, b \in R$ . If  $\exists c \in R$ . s.t.  $b = ac$ , then  $a$  divides  $b$  (or  $a$  is a factor of  $b$ ), denoted by  $a \mid b$ .

**Defn.** An element  $u$  of a commutative ring with unity  $R$  is a unit of  $R$  if  $u$  divides 1, that  $u$  has a multiplicative inverse in  $R$ . Two elements  $a, b \in R$  are associates in  $R$  if  $a = bu$  where  $u$  is a unit.

**Example** Let  $a, b \in \mathbb{Q}$ , 3 and 5 are associates.

**Defn.** A nonzero element  $p$  is not a unit of an integral domain  $D$  is irreducible of  $D$  if every factorization  $p = ab$  in  $D$  has the property that either  $a$  or  $b$  is a unit.

**Defn.** (UFD)

An integral domain  $D$  is a UFD if

1. Every element of  $D$  that is neither 0 or a unit can be factored into a product of finite number of irreducibles.
2. If  $p_1 p_2 \cdots p_r$  and  $q_1 q_2 \cdots q_s$  are two factorizations of  $x \in D$  into irreducibles, then  $r = s$  and (after renumbering)  $p_i$  and  $q_i$  are associates  $i = 1, 2, \dots, r$ .

**Lemma** Let  $R$  be a commutative ring and let  $N_1 \subseteq N_2 \subseteq \cdots$  be an ascending chain of ideals in  $R$ . Then  $N = \bigcup_{i=1}^{\infty} N_i$  is an ideal of  $R$ .

**Proof.** 自己 check.

**Lemma** (Ascending chain condition for a PID)

Let  $D$  be a PID. If  $N_1 \subseteq N_2 \subseteq \dots$  is ascending chain of ideals in  $D$ , then there exists a positive integer  $r$  such that  $N_r = N_s$  for all  $s \geq r$ .

**Proof.** By above lemma  $N = \bigcup_{i=1}^{\infty} N_i$  is an ideal of  $D$ . Since  $D$  is a PID,  $N = \langle c \rangle$  for some  $c \in D$ . By the definition of  $N$ , there exists a  $r$ , s.t.  $c \in N_r$ . Now, for  $s \geq r$ ,  $\langle c \rangle \subseteq N_r \subseteq N_s \subseteq N = \langle c \rangle$ .

Hence,  $N_r = N_s$  ■

**Theorem** Let  $D$  be a PID. Then every element that in neither 0 nor a unit in  $D$  is a product of irreducibles.

**Proof.** Consider  $a \neq 0$  which is not a unit. If  $a$  is an irreducible, then we are done. Otherwise,  $a = a_1 b_1$  where  $a_1$  and  $b_1$  is a unit. If both  $a_1$  and  $b_1$  are irreducibles, then we are done. Otherwise, let  $a_1$  be the one which is not irreducible. Then  $\langle a \rangle \subsetneq \langle a_1 \rangle$  (?)

And then  $\langle a \rangle \subset \langle a_1 \rangle \subset \langle a_2 \rangle \subset \dots$ . Since  $D$  is a PID, the ascending chain condition holds. Thus  $\langle a \rangle \subset \langle a_1 \rangle \subset \dots \subset \langle a_r \rangle$  and clearly  $a_r$  is irreducible.

Now,  $a = a_r \cdot c_1$  where  $a_r$  is irreducible and  $c_1$  is not a unit. By above process, we have that  $a = a_r \cdot c_{r'} \cdot d_1$  where  $c_{r'}$  is irreducible and  $d_1$  is not unit. Due to the fact that  $D$  is a PID, the factorization has finite products and the last element is an irreducible, this concludes the proof. ■

**Lemma** An ideal  $\langle p \rangle$  in a PID is maximal iff  $p$  is an irreducible.

**Lemma** In a PID, if an irreducible  $p \mid ab$ , then either  $p \mid a$  or  $p \mid b$ .

**Corollary** If  $p$  is an irreducible in a PID and  $p \mid a_1 a_2 \cdots a_n$  for  $a_i \in D$ , then  $p \mid a_i$  for at least one  $i$ .

## 代數中的 Primes

**Defn.** A nonzero nonunit element  $p$  of an integral domain  $D$  is a prime if,  $\forall a, b \in D$ ,  $p \mid ab$  implies either  $p \mid a$  or  $p \mid b$ .

**Theorem** Every PID is a UFD.

**Proof.** 由前面的定理知道, 在一個 PID 中, 每個元素都可以寫成有限個 irreducibles 的乘積, 所以只要證明唯一性即可。

令  $a \in D$ ,  $a = p_1 p_2 \cdots p_r = q_1 q_2 \cdots q_s$ . 從  $p_1$  開始考慮;  $p_1 \mid a$ , 所以  $p_1 \mid q_1 q_2 \cdots q_s$ . 因此  $p_1 \mid q_j$ . 因為  $p_1, q_j$  都是 irreducible, 所以  $q_j = p_1 u_1$ ,  $u_1$  為 unit. 為了方便說明, 令  $q_j$  為  $q_1$  (排列), 於是  $p_1 p_2 \cdots p_r = q_1 q_2 \cdots q_s$ . 因為  $D$  是 integral domain, 所以  $p_2 p_3 \cdots p_r = \mu_1 q_2 q_3 \cdots q_s$ . 繼續上述步驟我們可以推得  $r = s$ . (units 不影響分解的唯一性.) ■

**重要概念** 並非每個 UFD 都是 PID!

**Example** Let  $F[x, y]$  be the set of polynomials with two indeterminates  $x$  and  $y$  where  $F$  is a field. Then  $F[x, y]$  is a



commutative ring with 1, moreover,  $F[x, y]$  is an integral domain. Now, let  $N$  be the set of all polynomial in  $F[x, y]$  such that its constant term is "0". Then  $N$  is an ideal of  $F[x, y]$ . But,  $N$  is not a principal ideal of  $F[x, y]$ . Note that  $F[x, y]$  is a UFD, by Corollary 45.30.

(\*) If  $F$  is a field and  $x_1, x_2, \dots, x_n$  are indeterminates, then  $F[x_1, x_2, \dots, x_n]$  is a UFD. This is a direct consequence of the most fundamental theorem:

**Theorem** (45.29) If  $D$  is a UFD, then  $D[x]$  is a UFD.

**Proof.** Omit.