

## Week 1 (II)

### Ordered Rings and Fields

(\*) We assume that the rings we consider in this section have nonzero unity 1.

以前的概念： $a < b$  若且唯若  $b - a$  是正數，實數就是如此定義而複數就有困難。

現在該有的概念：先判斷在環中，那些是”正”的元素。

**Defn.** An ordered ring is a ring  $R$  together with a nonempty set  $P$  of  $R$  such that

- (1) closure  $\forall a, b \in P$ , both  $a + b$  and  $a \cdot b$  are in  $P$ .
- (2) Trichotomy  $\forall a \in R$ , exactly one of the following three statements holds,  
 $a \in P$ ,  $a = 0$ ,  $-a \in P$ .

Elements of  $P$  are called ”positive”.

**Property 1** If  $a$  is a nonzero element of an ordered ring  $R$  with positive set  $P$ , then  $a^2 \in P$ . ( $\mathbb{C}$  can not be ordered, since  $1 = 1^2$  and  $i^2 = -1$ .)

**Proof.** Either  $a \in P$  or  $-a \in P$ . If  $a \in P$ , then  $a \cdot a = a^2 \in P$ . On the other hand, if  $-a \in P$ , then  $(-a)(-a) = a^2 \in P$ . ■

**Property 2**  $1 \in P$ .

**Property 3** An ordered ring  $R$  is of characteristic 0, i.e.,  $\text{ch}(R)=0$ .

**Proof.** Since  $1 \in P$ ,  $1 + 1 \in P$ ,  $\dots$ ,  $1 + 1 + \dots + 1 \in P$ , never be zero. ■

**Property 4**  $0 \notin P$ .

**Proof.** Since  $-0 = 0$ ,  $0 \notin P$ . (違反 Trichotomy)

**Property 5** Every ordered ring contains  $\mathbb{Z}$  as a ordered subring.

**Proof.**  $1, 1 + 1, 1 + 1 + 1, \dots$  are distinct.

**Property 6** Every ordered ring  $R$  contains no zero divisors.

**Proof.** Let  $a$  and  $b$  be two nonzero elements in  $R$ . Then  $a$  or  $-a$  is in  $P$ ,  $b$  or  $-b$  is in  $P$ . This implies  $ab$  or  $-ab$  in  $P$  but not both. Hence  $ab \neq 0$ . ■

**Defn.**  $a < b$  if and only if  $b - a \in P$ .

**Property 7**

Trichotomy (a) Exactly one of the followings holds :  $a < b, a = b, b < a$ .

Transitivity (b) If  $a < b, b < c$ , then  $a < c$ .

Isotonicity (c) If  $b < c$ , then  $a + b < a + c$ .

If  $b < c, 0 < a$ , then  $ab < ac$  and  $ba < ca$

**Proof.**

(a) Let  $a, b \in R$ . Consider  $b - a \in R$ . Then exactly one of  $b - a \in P, b - a = 0, -(b - a) = a - b \in P$  holds. By def. one of  $a < b, a = b, b < a$  holds.

(b)  $(b - a) \in P, (c - b) \in P \Rightarrow (b - a) + (c - b) \in P$ , as  $a < c$ .

(c) 自己試試.

**Defn.** (Archimedean Ring)

An ordered ring  $R$  is an Archimedean ring if the following property holds :  $\forall a, b \in P \subseteq R, \exists$  a positive integer  $n$  s.t.  $na > b$ .

**More examples of ordered rings**

1. Let  $R$  be an ordered ring with set  $P$  of positive elements. Consider  $R[x]$ . Let  $P_{low} = \{f(x) \mid \text{the coefficient of the "lowest power" term of } f(x) \text{ is in } P\}$  and  $P_{high} = \{f(x) \mid \text{the coefficient of the "highest power" term of } f(x) \text{ is in } P\}$ .

For example, in  $\mathbb{Z}[x]$ , using  $P_{low}$ ,  $-2x + 3x^4$  is not positive. But, using  $P_{high}$ ,  $-2x + 3x^4$  is positive.

2. Using  $P_{low}$  and considering  $R[x]$  where  $\mathbb{R} = R$ , we have  $(a \in R, a > 0) a - x \in P_{low}$ , hence  $x < a$ . Similarly,  $x^2 < x$ ,  $0 < \dots < x^3 < x^2 < x < a$ . Therefore, there are infinitely many positive elements of  $P_{low}$  which are less than a positive number in  $\mathbb{R}$ .
3. The nature ordered ring  $\mathbb{R}$  itself is an Archimedean ordering, but the ordered ring  $\mathbb{R}[x]$  with positive elements defined by  $P_{low}$  is not an Archimedean ring.

**Sol.** Consider  $7(\leftarrow b)$  and  $x(\leftarrow a)$ ,  $nx < 7 \quad \forall n$ .

**4. Formal Power Series Rings**

Formal  $\Rightarrow$  因爲不討論級數是否收斂, 通常加入"Formal"來表示概念。

**Defn.** A formal power series in  $x$  with coefficients  $a_i \in R$  is written as  $\sum_{i=0}^{\infty} a_i x^i$ . (多項式要加入：除了有限個“ $i$ ”之外，其它的 $a_i = 0$ .) 所有上述 formal power series in  $x$  with coeff.  $a_i \in R$  所成的集合以  $R[[x]]$  表示.

**Property 8**  $R[[x]]$  contains  $R[x]$  as a subring.

**Property 9** Using  $P_{low}$  for the positive elements in  $R[[x]]$ , we have an ordered ring.

(Note) We can not use  $P_{high}$ . Some formal power series can not be judged whether it is positive or not.

$R$  is a field with ordering  $\Rightarrow R$  is an ordered field.

**Example**  $\mathbb{R}$  is an ordered field.

**Example** Formal Laurent series with coef. in a field  $F$ ,  $F((x))$ , is a field. By using  $P_{low}$ ,  $F((x))$  is an ordered field. (A series  $\sum_{i=-\infty}^{\infty} a_i x^i$  where all but a finite number of the  $a_i$  are zero for negative values  $i$  is called a formal Laurent series.)

**Property 10**  $F((x))$  contains a subfield : a field of quotients of  $F[x]$  and thus **induces** an ordering on this field of quotients.

**Defn.** If  $R$  is an ordered ring with positive set  $P$  and  $S \leq R$  is a subring of  $R$ , then  $P \cap S (\neq \emptyset)$  is a positive set in  $S$  and thus induces an ordering of  $S$ .

另一種形式的 "Induce" 來自環同構 (Ring isomorphism).

**Theorem** Let  $R$  be an ordered ring with positive set  $P$  and let  $\varphi : R \rightarrow R'$  be a ring isomorphism. Then  $R'$  is an ordered ring with positive set  $\varphi(P) = P'$ .

**Proof.**  $\forall a', b' \in P', a' + b' = \varphi(a) + \varphi(b) = \varphi(a + b)$  where  $a, b \in P$ . Hence  $a' + b' \in P'$ . similarly  $a'b' = \varphi(a)\varphi(b) = \varphi(ab) \in P'$ . ■

**Theorem** Let  $D$  be an ordered integral domain with positive set  $P$ , and let  $F$  be a field of quotients of  $D$ . The set  $P' = \{x \in F \mid x = \frac{a}{b} \text{ for } a, b \in D \text{ and } ab \in P\}$  gives an order on  $F$  that induces the given order on  $D$  (i.e.  $D \cap P'$  is positive in  $D$ ). Furthermore,  $P'$  is the only subset of  $F$  with this property.

**proof.** 參考課本 p.232-233.

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我只看我有的, 不看我沒有的。

(\*\*) Order 決定於 Positive set.