

# Graph Theory Lecture 8 Nov. 7 -

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Theorem 36  $ex(n; K_{s,t}) \leq \frac{1}{2}(s-1) \cdot n^{2-\frac{1}{t}} + \frac{1}{2}(t-1)n$ , i.e.,

$$ex(n; K_{s,t}) \leq \frac{1}{2} z(n, n; s, t).$$

Proof. Let  $G$  be an extremal graph such that  $\|G\| = ex(n; K_{s,t})$ .

(1st) Define a bipartite graph  $H = (A, B)$  based on  $G$ . Let  $V(G) = \{v_1, v_2, \dots, v_n\}$ .

Let  $A = \{a_1, a_2, \dots, a_n\}$ ,  $B = \{b_1, b_2, \dots, b_n\}$  and  $a_i \sim_H b_j$  if

and only if  $v_i \sim_G v_j$ , see Figure 29 for an example. Now,

clearly,  $\|H\| = 2\|G\|$  and  $a_i \not\sim_H b_i$  for  $i = 1, 2, \dots, n$ . Moreover,

if  $G \not\cong K_{s,t}$ , then  $H \not\cong K_{s,t}$ . (?) This concludes that

$$\|G\| = \frac{1}{2}\|H\| \leq \frac{1}{2} z(n, n; s, t). \quad \blacksquare \text{ 1st}$$

(2nd proof) Two-way counting

Consider the number of stars  $K_{1,t}$ . Since  $G \not\cong K_{s,t}$ , every set of  $t$  vertices of  $V(G)$  has at most  $s-1$  centers

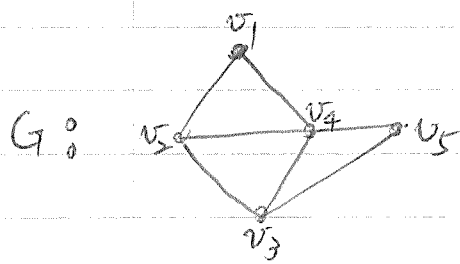
of stars whose pendant vertices are these vertices. So, there are

at most  $(s-1) \binom{n}{t}$   $t$ -stars. The number of stars  $K_{1,t}$  can be

obtained by  $\sum_{i=1}^n \binom{d_i}{t}$  where  $d_i = \deg_G(v_i)$ . Now, let  $m = \|G\|$ .

$\sum_{i=1}^n d_i = 2m$ . By a similar technique as Theorem 34, we conclude the proof.  $\blacksquare$

$$(*) \quad n \cdot \binom{2m/n}{t} \leq (s-1) \binom{n}{t}.$$



$H :$

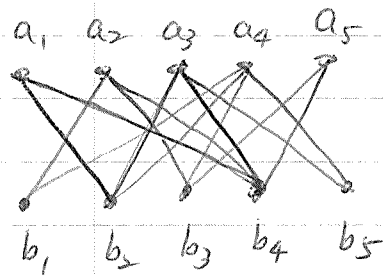


Figure 29. Bipartite version

## Ramsey Theory

(\*) The Ramsey number  $R(s, t)$  is the smallest value " $n$ " for which either a graph  $G$  of order  $n$  contains  $K_s$  or  $\bar{G} \geq K_t$ .

(\*) Edge-coloring version of Ramsey number.

The Ramsey number  $R(s, t)$  is the smallest value " $n$ " for which in any 2-edge-colored  $K_n$  (red and blue), either there exists a red  $K_s$  or a blue  $K_t$ . (A red  $K_s$  is a complete graph of order  $s$  such that all its edges are colored red.)

(\*)  $R(3, 3) = 6$  (Do you know this fact?)

Theorem 3.7 The following statements are true:

(1)  $R(s, 2) = s$  and  $R(2, t) = t$ ,

(2)  $R(s, t) = R(t, s)$ ,

(3) For  $s > 2, t > 2$ ,  $R(s, t) \leq R(s, t-1) + R(s-1, t)$ , and

(4)  $R(s, t) \leq \binom{s+t-2}{s-1} = \binom{s+t-2}{t-1}$ .

Proof. (1) and (2) are easy to see.

Claim of (3).

Let  $n = R(s, t-1) + R(s-1, t)$ . Then, in  $K_n$ , each vertex is of degree  $R(s, t-1) + R(s-1, t) - 1$ . Therefore, if  $K_n$  is 2-edge-colored by red and blue, then the edges incident to a fixed vertex  $x \in V(K_n)$  are either red edges or blue edges. By Pigeon-hole principle, either there are  $R(s, t-1)$  blue edges or  $R(s-1, t)$  red edges. If the first case holds, then in  $\langle N_{K_n}(x) \rangle_{K_n}$  (a complete graph of order  $R(s, t-1)$ ), either there exists a red  $K_s$  or blue  $K_{t-1}$ . Hence, we have a red  $K_s$  or a blue  $K_t$  in  $K_n$ . The other case can be obtained by a similar argument.

Claim of (4) By inductive argument. (Or induction)

$$R(s, t) \leq R(s, t-1) + R(s-1, t)$$

$$\begin{aligned} &\leq \binom{s+t-1-2}{s-1} + \binom{s-1+t-2}{t-1} = \binom{s+t-3}{s-1} + \binom{s+t-3}{s-2} \\ &= \binom{s+t-3+1}{s-1} = \binom{s+t-2}{s-1}. \end{aligned}$$

Theorem 38 (Erdős and Szekeres, 1935)

$$\text{For each } s \geq 2, R(s) \leq \frac{2^{2s-2}}{s^{1/2}}. \quad (R(s) =_{\text{def}} R(s, s))$$

Proof.  $R(s, s) \leq \binom{2s-2}{s-1}$ . We claim  $\binom{2s-2}{s-1} \leq \frac{2^{2s-2}}{s^{1/2}}$  by

induction on  $s$ . First, if  $s=2$ ,  $2 \leq \frac{4}{\sqrt{2}}$ , the assertion is true.

Assume that the assertion is true for  $s=k$ , then  $\binom{2k-2}{k-1} \leq \frac{2^{2k-2}}{k^{1/2}}$ .

$$\begin{aligned} \text{Now, we calculate } \binom{2k}{k} &= \frac{(2k)!}{k!k!} = \frac{2k \cdot (2k-1) \cdot (2k-2)!}{k^2 (k-1)! \cdot (k-1)!} \\ &= \frac{2k(2k-1)}{k^2} \cdot \binom{2k-2}{k-1} \leq \frac{4k-2k}{k^2} \cdot \frac{2^{2k-2}}{k^{1/2}} = \frac{(4k-2)}{4k} \cdot \frac{2^{2k}}{k^{1/2}}, \quad \dots (1) \end{aligned}$$

Since  $\binom{2k}{k} \leq \frac{2^{2k}}{(k+1)^{1/2}}$ , we conclude that  $\binom{2k}{k} \leq \frac{2^{2k}}{(k+1)^{1/2}}$ .

(\*) The result has been there for almost 50 years before

the improvement due to Thomason in 1988:

$$R(s) \leq 2^{2/s}.$$

(••) The original proof by Ramsey shows that

$$R(k) \leq 2^{2k-3} = \frac{2^{2k-2}}{2}. \quad (1930)$$

Theorem 39  $R(k) \geq \lceil 2^{\lfloor \frac{k}{2} \rfloor} \rceil. \quad (k \geq 3)$

Proof. (Probabilistic method)

Consider a random red-blue coloring of the edges of  $K_n$ .

For a fixed set  $T$  of  $k$  vertices, let  $A_T$  be the event that

$\langle T \rangle_{K_n}$  is monochromatic. Hence  $P(A_T) = \left(\frac{1}{2}\right)^{\binom{k}{2}} \cdot 2 = 2^{1 - \binom{k}{2}}$ .  
↑  
red or blue

Since there are  $\binom{n}{k}$  possible sets for  $T$ , the probability that

at least one of  $A_T$  occurs is  $\binom{n}{k} \cdot 2^{1 - \binom{k}{2}}$ . Now, if

$\binom{n}{k} \cdot 2^{1 - \binom{k}{2}} < 1$ , then no event  $A_T$  occurs is of positive

probability, i.e., there exists a coloring of edges such that

no monochromatic  $K_k$  occurs. Therefore, for such  $n$ ,  $R(k) > n$ .

Let  $n = \lfloor 2^{\lfloor \frac{k}{2} \rfloor} \rfloor$ . (It suffices to show that  $\binom{n}{k} \cdot 2^{1 - \binom{k}{2}} < 1$ .)

$$\begin{aligned} \binom{n}{k} 2^{1 - \binom{k}{2}} &\leq \frac{n^k}{k!} \cdot \frac{2^{1 + \frac{k}{2}}}{2^{\frac{k^2}{2}}} & (1 - \binom{k}{2} = 1 - \frac{k^2}{2} + \frac{k}{2}) \\ &\leq \frac{\left(2^{\frac{k}{2}}\right)^k}{k!} \cdot \frac{2^{1 + \frac{k}{2}}}{2^{\frac{k^2}{2}}} \leq \frac{2^{1 + \frac{k}{2}}}{k!} < 1 \quad (k \geq 3). \end{aligned}$$

Hence,  $R(k) \geq \lfloor 2^{\lfloor \frac{k}{2} \rfloor} \rfloor$ . ▀

(\*) Combining Theorems obtained above

$$2^{\frac{n}{2}} \leq R(n) \leq 2^{2n-3} \text{ for } n \geq 2.$$

(\*\*) Open problem:  $R(n) = 2^{(c+o(1))n}$ , (c may be equal to 1).

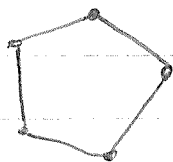
Theorem 40 Known results of  $R(n, t)$ .

$t \backslash n$	3	4	5	6	7	8	9
3	6	9	14	18	23	28	36
4		18	25	36-41	49-61	59-84	73-115
5			43-48	58-87	80-143	101-216	133-316
6				102-165	115-298	134-495	183-780
7					205-540	217-1031	252-1713
8						282-1870	329-3583
9							565-6587

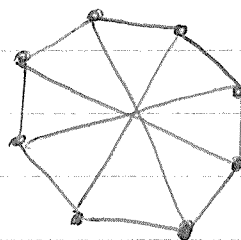
$$R(n, t) = R(t, n)$$

(\*) The results of lower bounds are obtained by "a special edge-coloring" with two colors. Corresponding to the coloring we have  $G$  and  $\bar{G}$  of order (prescribed).

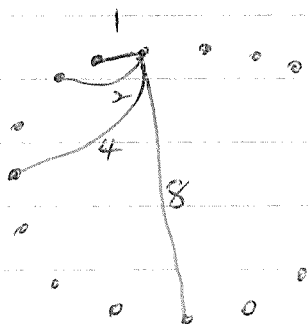
$R(3, 3) :$   
( $> 5$ )



$R(3, 4) :$   
( $> 8$ )



$R(4, 4)$   
( $> 17$ )



$G(17; \{1, 2, 4, 8\})$

Bonus Find as many vertices ( $n$ ) as possible such that a graph  $G$  of order  $n$  satisfying  $G \not\cong K_5$  and  $\bar{G} \not\cong K_5$ .

(Try 43!)

Exercise C-1 Find a better upper bound for  $R(2)$ .  
(Do your best!)