

Unreliable Tests

不可信賴的测试

Proposed by Stanislaw M. Ulam (1909~1984)

in his autobiography "Adventures of a Mathematician" (1976).

Ulam's Game

在  $10^6$  个数中猜一数, 负责回答的人可以说最多两次谎, 要问多少次才可以猜出该数。 (问题的形式为  $x > ?$ )

General Model (Group Testing).

There are  $n$  items,  $d$  defectives and at most  $r$  errors are allowed.

Terms For any algorithm  $\alpha$  identifying all defectives with such unreliable tests, let  $N_\alpha^r(\sigma|d, n)$  denote the number of unreliable tests performed by  $\alpha$  on the sample  $\sigma$  and let

$$M_\alpha^r(d, n) = \max_{\sigma \in S(d, n)} N_\alpha^r(\sigma|d, n), \text{ and}$$

$$M^r(d, n) = \min_{\alpha} M_\alpha^r(d, n).$$



$$\begin{array}{l}
 T(\{1,2\}) = T(1,2) = 0 \\
 T(2,3,4) = 1 \\
 T(2,4,5) = 1
 \end{array}
 \left. \vphantom{\begin{array}{l} T(\{1,2\}) \\ T(2,3,4) \\ T(2,4,5) \end{array}} \right) \text{In a GT of } \{1,2,3,4,5\} \text{ with one "Lie".} \quad (3)$$

The state  $(a,b)$  associated with this history is  $(a,b) = (1,3)$ .

$$\begin{array}{l}
 a = |\{3,4,5\} \cap \{2,3,4\} \cap \{2,4,5\}| = |\{4\}| = 1 \\
 b = |(\{3,4,5\} \cap \{2,3,4\} \cap \boxed{\{1,3\}}) \cup (\{3,4,5\} \cup \boxed{\{1,5\}} \cup \{2,4,5\}) \\
 \cup (\boxed{\{1,2\}} \cap \{2,3,4\} \cap \{2,4,5\})| = |\{3,5,2\}| = 3
 \end{array}$$

根據上述的觀察，我們可以定義以下兩個集合：

$$A \text{ (True-set)} = \{v \in \mathbb{N} \mid v \text{ is in all positive pool and } v \text{ can be positive}\}$$

$$B \text{ (Lie-set)} = \{v \in \mathbb{N} \mid v \text{ is in all positive pool except one and } v \text{ can be positive}\}$$

以下是一個有兩個 items 的例子，在  $\{1,2\}$  中，1 是 defective item.

(\*) 如何確定 1 的確是壞了的 item 呢？

在  $A = \emptyset$  時，表示 "Lie" 已經用過了，所以如果 items 的總數在  $2^{k-1} < |S| \leq 2^k$  時，最多再長次即可。



✓ A. Pelc, Solution of Ulam's problem on searching with a lie, JCTA 44 (1987), 129-140.

✓ A. Pelc, Detecting errors in searching games, JCTA 51 (1989), 43-54.

✓ A. Pelc, Prefix search with a lie, JCTA 48 (1988), 165-173.

52 JCTA  
≡ 121作者  
Two lies

A. Negro and Sereno,

✓ ① Solution of Ulam's problem on binary search with three lies, JCTA 59 (1992) 149-154.

② An Ulam's searching game with three lies, Advances in Mathematics, Vol. 13, Issue 4, Dec. (1992) Applied 404-428.

✓ W. Guzicki, Ulam's searching game with two lies, JCTA 54 (1990)

$$w_j(a, b) = a(j+1) + b \quad (j \text{ questions remain to be asked.})$$



$b$ : Lie set 的大小, 也就是说可能产生 Lie 的次数

$a$ : 目前是 Truth set, 在剩下  $j$  次 Tests 中可能 Lie 或不 Lie 的机会, 每个 item 皆为  $j+1$  次。

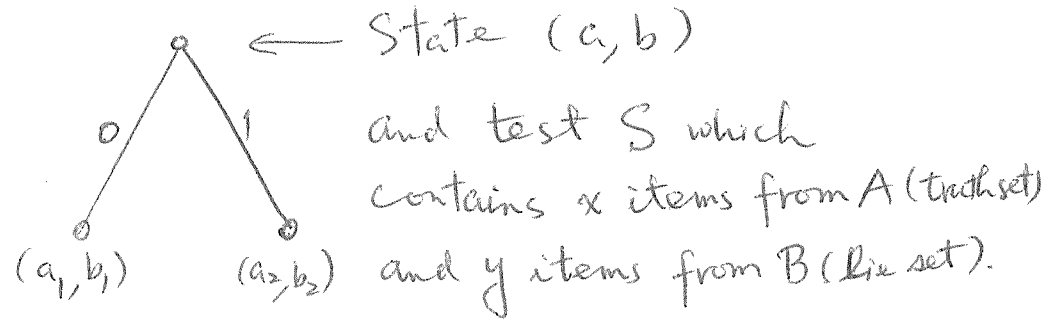
$w_j(a, b) = a(j+1) + b$  为总共可能会有的可能性。

↑

Weight function

随着多次的 Test, weight 的逐渐变小; 因为 Truth set 会变小, 而且剩下 tests 的个数也会变小;  $b$  可能变大。

(\*) 如果有  $n$  item, 预备作  $k$  次 Test, 则在 root 上的 weight 为  $n(k+1)$ ; 当然如果在  $k$  次可以完成工作的话  $n(k+1) \leq 2^k$ , 否则不合乎 Informatic lower bound.



Now,  $(a_1, b_1) = (a-x, b-y+x)$  and  
 $(a_2, b_2) = (x, a-x+y)$

→  $x$  items 不再是 "positive"  $\Rightarrow a_1 = a-x$ .

→ 可能 Lie 的元素增加了  $x$  个, 而那  $y$  个不再  
 是 Lie set 中的元素 (因为 negative 两次).

所以  $b_1 = b+x-y$ .

→ 这  $x$  是原来 Truth set 的一部份, 取交集就是这  $x$  个  
 个 items.

→ Test 的 outcome 是 "1" 说明了  $y$  items 可以  
 保留在 Lie set 中 之外 仍然有  $A$  中 扣掉  
 $x$  items 的 其它 items, 所以  $b_2 = y+a-x$ .

( $B$  中  $y$  个 items 以外的 <sup>items</sup> 基本上不会在 Lie set 中)  
 在 这次 Test 后

by 2,

$$w_j(a, b) = a(j+1) + b.$$

$$w_{j-1}(a_1, b_1) = a_1(j-1+1) + b_1 = (a-x)j + b - y + x$$

$$+ w_{j-1}(a_2, b_2) = a_2(j-1+1) + b_2 = xj + a - x + y$$

$$= aj + a + b$$

$$= a(j+1) + b$$

$$\Rightarrow w_j(a, b) = w_{j-1}(a_1, b_1) + w_{j-1}(a_2, b_2).$$

$\circ (a, b)$

} j tests

$$w_j(a, b) = \sum w(\circ \circ \text{weights here} \circ \circ)$$

$$= a(j+1) + b.$$



If  $\alpha$  is an algorithm which can identify the defective <sup>within  $k$  tests</sup> then in the computation tree the leaves are of states either  $(1, 0)$  or  $(0, 1)$ . Therefore, their weights are either  $j+1$  or  $1$ . Now, let the length of path from the root to the leaf is  $k-j$  ( $j \geq 0$ ). Then, the weight of the leaf is more than  $\frac{n(k+1)}{2^{k-j}}$ . ( $\frac{n}{2^k}$  for  $j$  tests  $\frac{n}{2^j}$ .)

if  $n \geq \frac{1}{2} \Rightarrow n(k+1) > 2^k \frac{1}{2^j}$ , leaf wt weight  $\frac{1}{2} > 2^j$  亦即  $j+1 > 2^j$  or  $1 > 2^j$ , 均不可能。

No way to identify the defective item!

Fact

(1) When  $n$  is even, if  $n(k+1) > 2^k$ , then  $M'(1, n) > k$ .

(2) When  $n$  is odd, if  $n(k+1) + (k-1) > 2^k$ , then  $M'(1, n) > k$ .

Proof. (1) By (4)

(2) The first test must yield states  $(a_1, b_1), (a_2, b_2)$  such that

$$\max(w_{k-1}(a_1, b_1), w_{k-1}(a_2, b_2)) \geq \frac{\frac{n}{2} \cdot k + \frac{n}{2}}{2} = \left(\frac{n+1}{2}\right)k + \frac{n-1}{2}$$

$$> 2^{k-1}$$

Therefore  $k$  tests are not enough.

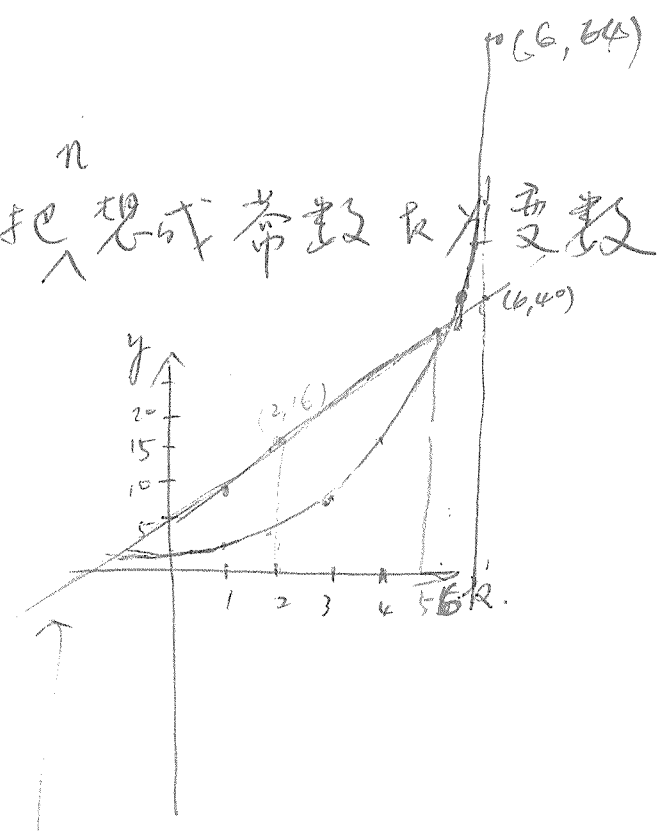


Fact

$$\left\{ \begin{array}{l} M'(1, n) \geq \min \{ k \mid n(k+1) \leq 2^k \}, n \text{ even.} \\ M'(1, n) \geq \min \{ k \mid n(k+1) + (k-1) \leq 2^k \}, n \text{ is odd.} \end{array} \right.$$

⑤

$n$   
 如果把  $\sum$  看成常数  $k$  变数;  $n=5$   
 则



$$y = 5k + 5 + (k-1)$$

$$y = 2^k$$

$\Rightarrow k=5, 32 < 34$

$\Rightarrow k=6$  Answer!



Definition (Character of a state)

The character of a state  $(a, b)$  is defined as

$ch(a, b) = \min \{ h \mid w_h(a, b) \leq 2^h \}$ .  $ch(1, 5) = 4$   
 $\min \{ h \mid h+1+5 \leq 2^h \} \Rightarrow h=4$

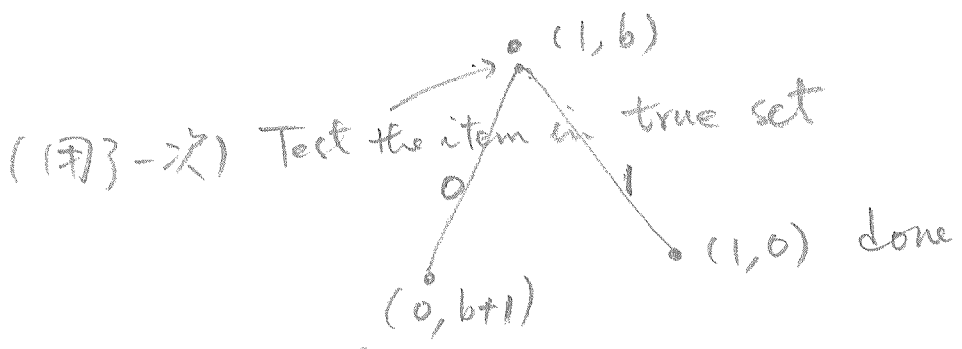
Lemma For  $b \in \mathbb{N}$  and  $k = ch(1, b)$ , there exists an algorithm identifying the positive, starting from the state  $(1, b)$  in  $k$  more tests.

Proof. By induction on  $b$ .

Clearly, it's true if  $b=1$ .

$ch(1, 1) = \min \{ h \mid h+1+1 \leq 2^h \}$   
 $= 2$

Case 1  $b < k$



check weight  $w_{k-1}(0, b+1) = b+1 \leq k \leq 2^{k-1}$

(在 True-set 为  $\emptyset$  的情况下, 以下的 Tests 都不可再再 Lie; 所以再  $k-1$  次就够了!)

Case 2

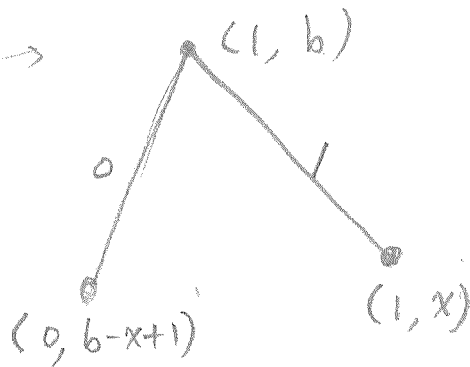
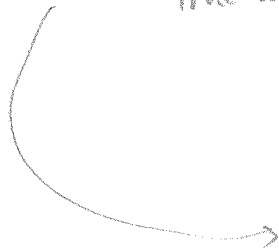
$$b \geq k$$

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$$\text{Let } x = \lfloor \frac{b-k+1}{2} \rfloor.$$



(唯一的 item 在 True-set 及  $x$  个任意 items 在 Lie-set)



$$|w_{k-1}(1, x) - w_{k-1}(0, b-x+1)| = |(k+x) - (b-x+1)|$$

$$= |k-b-1+2x| = |2x - (b-k+1)| \leq 1$$

$$\Rightarrow w_{k-1}(1, x) \leq 2^{k-1}, w_{k-1}(0, b+1-x) \leq 2^{k-1}$$

$$ch(1, x) = \min \{ h \mid w_k(1, x) \leq 2^h \} \leq k-1$$

$$w_{k-1}(1, x) + w_{k-1}(0, b+1-x) = k+x+b-x+1 = k+1+b = w_k(1, b) \leq 2^k$$

$$ch(0, b+1-x) = \min \{ h \mid w_k(0, b+1-x) \leq 2^h \} \leq k-1$$

$h+1+x \leq 2^h \Rightarrow$  (1, x),  $x < b$   
By induction  
 $b+1-x \leq 2^{k-1} \Rightarrow$   $k-1$  more tests  
 (No Lie anymore!)

So,  $k$  tests are enough!