

# On Optimal Pebbling of Hypercubes

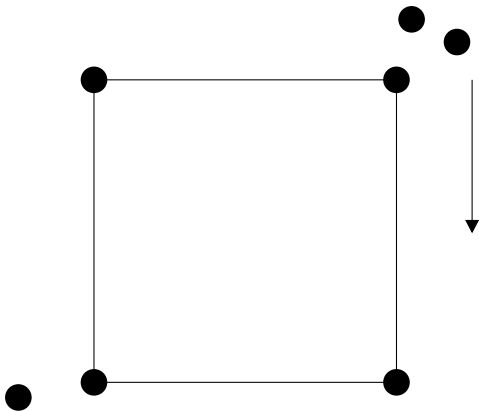
Hung-Lin Fu

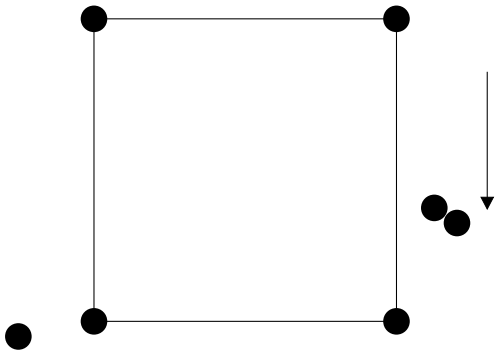
Department of Applied Mathematics  
National Chiao Tung University  
Hsinchu 30050, Taiwan

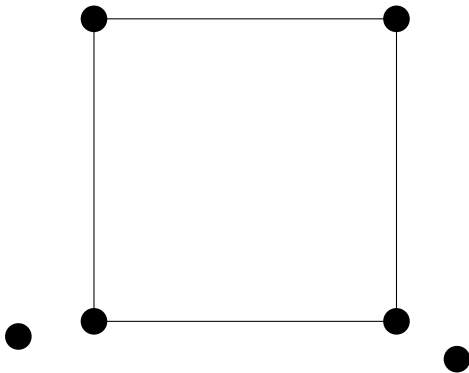
Work jointly with Kuo-Ching Huang and Chin-Lin Shiue.

Let  $G$  be a graph such that  $V(G) = \{v_1, v_2, \dots, v_p\}$ . By a *distribution* of pebbles on  $G$  we mean a function  $\delta : V(G) \rightarrow \mathbb{N} \cup \{0\}$  and for clarity, we use  $(\delta_{v_1}, \delta_{v_2}, \dots, \delta_{v_p})$  to denote  $\delta$ , where  $\delta_v$  is the number of pebbles distributed on  $v \in V(G)$ . The *support*  $S_\delta$  of  $\delta$  is defined as the set of vertices  $v$  in  $V(G)$  such that  $\delta_v > 0$ . Therefore the number of pebbles used in  $G$  is  $\sum_{v \in S_\delta} \delta_v$  and denoted by  $\delta_G$ .

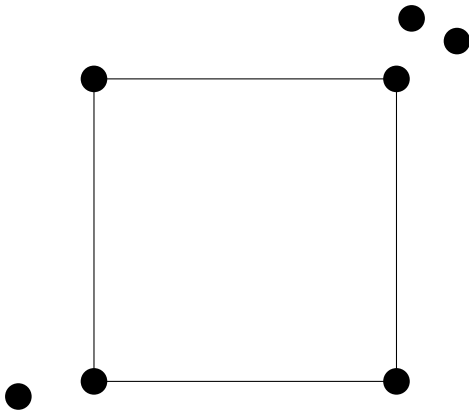
A *pebbling move* consists of removing two pebbles from one vertex and then placing one pebble at an adjacent vertex. If a distribution  $\delta$  of pebbles lets us move at least one pebble to each vertex  $v$  by applying pebbling moves repeatedly (if necessary), then  $\delta$  is called a pebbling of  $G$ . The *optimal pebbling number*  $f'(G)$  of  $G$  is the minimum number of pebbles used in a pebbling of  $G$ .







A pebbling of  $C_4$ .



The *pebbling number*  $f(G)$  of  $G$  is the minimum number of pebbles  $k$  such that **any distribution** of  $k$  pebbles is a pebbling of  $G$ .

$$f(G) \geq \max\{V(G), 2^{\text{diam}(G)}\}.$$



The problem of pebbling graph was first proposed by J. Lagarias and M. Saks as a tool for solving a number theoretic problem by Lemke and Kleitman [5]. Since then, quite a few of work has been done by F. R. K. Chung [1], Guzman, Moews [7], Pachter [9], Clarke et. al. [2] and Herscovici et. al. [4].

## References

- [1] F. R. K. Chung, *Pebbling in hypercubes*, SIAM J. Disc. Math. Vol. 2, NO. 4(1989), 467-472.
- [2] T. A. Clarke, R. A. Hochberg and G. H. Hurlbert, *Pebbling in diameter two graphs and products of paths*, J. Graph Theory 25(1997), 119-128.
- [3] H. L. Fu and C. L. Shiue, *The optimal pebbling number of the complete  $m$ -ary tree*, Discrete Math. 222(2000), 89-100.
- [4] D. S. Herscovici and A.W. Higgins, *The pebbling number of  $C_5 \times C_5$* , Discrete Math. 187(1998), 123-135.
- [5] P. Lemke and D. Kleitman, *An addition theorem on the integers modulo  $n$* , J. Number Theory 31(1989), 335-345.
- [6] F. J. MacWilliams and N. J. A. Sloane, *The Theory of Error-Correcting Codes*, New York: North-Holland, 1977.
- [7] D. Moews, *Pebbling graph*, J. Combinatorial Theory(B) 55(1992), 244-252.
- [8] D. Moews, *Optimally pebbling hypercubes and powers*, Discrete Math. 190(1998), 271-276.
- [9] L. Pachter, *On pebbling graph*, Congressus Numerantium 107(1995), 65-80.
- [10] C. L. Shiue and H. L. Fu, *The optimal pebbling number of the caterpillar*, Taiwanese J. Math. 13 (2009), no. 2A, 419-429.
- [11] C. L. Shiue, *Optimally pebbling graphs*, Ph. D. Dissertation, Department of Applied Mathematics, National Chiao Tung University (1999), Hsin chu, Taiwan.

The optimal pebbling number of a graph  $G$  was first introduced by Pachter and the following results are notable.

### Theorem

*Let  $P$  be a path with  $3t + r$  vertices with  $0 \leq r \leq 2$ . Then  $f'(P) = 2t + r$ .*

## Theorem (Fu and Shiue)

For any two graphs  $G$  and  $H$ ,  $f'(G \times H) \leq f'(G)f'(H)$ .

## Theorem (Fu and Shiue, DM)

Let  $T_h^m$  be a complete  $m$ -ary tree with height  $h$ . Then  $f'(T_h^m) = 2^h$  for each  $m \geq 3$ , and

$$f'(T_h^2) = \min\left\{\sum_{i=0}^h 2^i x_i \mid \sum_{i=0}^h \left(2^i - \frac{1}{3}\right)x_i \geq \frac{1}{3} \cdot 2^{h+1}, x_0 \in \{0, 1, 2, 3\} \text{ and } x_i \in \{0, 2\}, \text{ where } i = 1, 2, \dots, h\right\}.$$

### Theorem (Moews, DM)

Let  $Q_n$  be the hypercube defined by  $Q_n = Q_{n-1} \times K_2$ . Then  $f'(Q_n) = (\frac{4}{3})^{n+O(\log n)}$ .

In fact, the upper bound of  $f'(Q_n)$  obtained by Moews is as follows.

### Corollary

$$f'(Q_n) \leq 2(\frac{4}{3})^n n^2.$$

Let the *covering radius* of a subset  $W$  of  $V(G)$  be the smallest positive integer  $d$  such that all vertices  $v$  of  $G$  are at distance no more than  $d$  from a vertex of  $W$ . Then, it is clear that we can place  $2^d$  pebbles on each vertex of  $W$  and obtain a pebbling of  $G$  with  $2^d|W|$  pebbles. Therefore, the choice of  $W$  determines an upper bound for  $f'(Q_n)$ .

Suppose  $0 < \beta < \frac{1}{2}$ . Let  $d(v, W)$  be the minimum values of  $d(v, w)$  for  $w \in W$  and  $p$  be the probability for a vertex  $v \in V(Q_n)$  satisfying that  $d(v, W) > \beta n$ . Then, we have

Lemma

$$p \leq \left[ 1 - 2^{-n} \sum_{i=0}^{\beta n} \binom{n}{i} \right]^k .$$

### Proof.

Set  $\mathcal{U}_k = \{S : S \text{ is a } k\text{-element subset of } V(Q_n)\}$  and for each  $v \in V(Q_n)$   $S_v = \{u \in V(Q_n) : d(u, v) > \beta n\}$ . Then

$$|S_v| = \sum_{i=\beta n+1}^n \binom{n}{i} = 2^n - \sum_{i=0}^{\beta n} \binom{n}{i}.$$

It is easy to see that  $d(v, W) > \beta n$  for  $W \in \mathcal{U}_k$  if and only if  $W \subseteq S_v$ . Let  $\mathcal{F}_v = \{W : W \text{ is a } k\text{-element subset of } S_v\}$ ,  $q = |V(Q_n)| = 2^n$  and  $r = |S_v|$ .



Then

$$\begin{aligned} p &= \frac{|\mathcal{F}_v|}{|\mathcal{U}_k|} = \frac{\binom{r}{k}}{\binom{q}{k}} \\ &= \frac{r(r-1)(r-2)\cdots(r-k+1)}{q(q-1)(q-2)\cdots(q-k+1)} \\ &\leq \left(\frac{r}{q}\right)^k \\ &= \left[1 - 2^{-n} \sum_{i=0}^{\beta n} \binom{n}{i}\right]^k. \end{aligned}$$

□

## Theorem

$$f'(Q_n) = O(n^{\frac{3}{2}}(\frac{4}{3})^n).$$

### **Proof.**

Suppose  $0 < \beta < \frac{1}{2}$ . Let  $W$  be a randomly chosen  $k$ -element subset of  $V(Q_n)$ . Let  $p$  be the probability for a randomly and uniformly chosen  $v \in V(Q_n)$  satisfying that  $d(v, W) > \beta n$ . Set  $X$  the number of vertices in  $V(Q_n)$  at distance greater than  $\beta n$  from  $W$ . If the probability  $Pr[X = 0] > 0$ , then there exists a  $k$ -element subset of  $V(Q_n)$  with covering radius at most  $\beta n$ .

It is clear that the expectation  $E[X] = 2^n p$ . By Markov's inequality,  $Pr[X \geq 1] \leq E[X] = 2^n p$ . Hence,  $Pr[X = 0] = 1 - Pr[X \geq 1] \geq 1 - 2^n p$ . Moreover, by Lemma 6, we have

$$p \leq \left[ 1 - 2^{-n} \sum_{i=0}^{\beta n} \binom{n}{i} \right]^k.$$

So,

$$Pr[X = 0] \geq 1 - 2^n p \geq 1 - 2^n \left[ 1 - 2^{-n} \sum_{i=0}^{\beta n} \binom{n}{i} \right]^k.$$

By directed counting,

$$1 - 2^n \left[ 1 - 2^{-n} \sum_{i=0}^{\beta n} \binom{n}{i} \right]^k > 0$$

if and only if

$$-\log \left[ 1 - 2^{-n} \sum_{i=0}^{\beta n} \binom{n}{i} \right] > \frac{n \log 2}{k}.$$

From elementary calculus, we have

$$\begin{aligned} -\log \left[ 1 - 2^{-n} \sum_{i=0}^{\beta n} \binom{n}{i} \right] &= 2^{-n} \sum_{i=0}^{\beta n} \binom{n}{i} + \frac{1}{2} \left[ 2^{-n} \sum_{i=0}^{\beta n} \binom{n}{i} \right]^2 + \dots \\ &\geq 2^{-n} \sum_{i=0}^{\beta n} \binom{n}{i}. \end{aligned}$$

Combining with the estimation in [6],

$$\sum_{i=0}^{\beta n} \binom{n}{i} \geq 2^{nH(\beta)} [8n\beta(1-\beta)]^{-\frac{1}{2}} \geq 2^{nH(\beta)} (2n)^{-\frac{1}{2}},$$

we obtain

$$-\log \left[ 1 - 2^{-n} \binom{n}{\beta n} \right] \geq 2^{-n[1-H(\beta)]} (2n)^{-\frac{1}{2}} = \frac{1}{\sqrt{2}} n^{-\frac{1}{2}} 2^{-n[1-H(\beta)]},$$

where  $H(t) = -t \log_2 t - (1-t) \log_2 (1-t)$  for  $0 \leq t \leq \frac{1}{2}$ . Now, by letting

$$k \geq (\sqrt{2} \log 2) n^{\frac{3}{2}} 2^{n[1-H(\beta)]},$$

it is easy to see that

$$\frac{1}{\sqrt{2}} n^{-\frac{1}{2}} 2^{-n[1-H(\beta)]} \geq \frac{n \log 2}{k}$$

and then

$$Pr[X = 0] \geq 1 - 2^n \left[ 1 - 2^{-n} \binom{n}{\beta n} \right]^k > 0.$$



In this case,  $f'(Q_n) \leq k2^{\beta n}$ . Since  $T(\beta) = 1 + \beta - H(\beta)$ , where  $0 < \beta < \frac{1}{2}$ , attains its minimum at  $\beta = \frac{1}{3}$  with  $T(\frac{1}{3}) = \log_2(\frac{4}{3})$ ,

$$f'(Q_n) = O(n^{\frac{3}{2}}2^{n\log_2(\frac{4}{3})}) = O(n^{\frac{3}{2}}(\frac{4}{3})^n).$$

This concludes the proof. □

A pebbling  $\delta$  of  $G$  is called an  $\ell$ -pebbling if we can move at least  $\ell$  pebbles to each vertex of  $G$ . The *optimal  $\ell$ -pebbling number*  $f'_\ell(G)$  of  $G$  is defined as the minimum number of pebbles in an  $\ell$ -pebbling of  $G$ .

### Theorem (Shiue)

Let  $P$  be a path with  $n$  vertices and  $\ell = 3m + r \geq 2$ ,  $0 \leq r \leq 2$ . Then  $f'_\ell(P) = m(n + 2) + \lceil \frac{r(n+2)}{2} \rceil$ .

### Lemma

$$f'(Q_{n+m}) \leq f'_{2^{\lceil \frac{n-1}{2} \rceil}}(Q_m) + f'_{2^{\lfloor \frac{n-1}{2} \rfloor}}(Q_m).$$

### Theorem

For each  $n \geq 4$ ,  $f'(Q_n) \leq \min\{\frac{3}{2}[1 + (\frac{3}{2})^m \cdot 2^{-t}](\frac{9}{8})^t(\frac{4}{3})^n \mid n = m + 2t + 1\}$ , where  $m$  and  $t$  are positive integers.

## Theorem

$$f'(Q_n) = O((1.377)^n)$$

This is a better result for small  $n$ .

**Thank you for your attention!**